

TP/	ANIONAITTAI		Applic	ation Number	09/881,82	23	
TRANSMITTAL FORM  where seed for all correspondence after initial filing)		•	Filing	Filing Date		June 15, 2001	
			First N	lamed Inventor	Wenyuar	SHI	
		Art Un	it	1645			
			Exami	ner Name	Zerman,	Robert A.	
Total Number of P	ages in This		Attorney Docket Number		061818-5511 US		
Submission	Submission				(Formerly 22851-032)		
		ENC	LOSURE	S (Check all that app	oly)		
Fee Transmit	tal Form	☐ Drawin	ng(s)		Afte	r Allowance Communication to 0	
Fee Atta	ched	Licensing-related Papers		Appeal Communication to Board of A and Interferences			
Amendment/Reply		Petition			eal Communication to Group (Ap		
After Final		Change of Address			prietary Information		
Affidavits/declaration(s)		Revocation and Power of Attorney (with Schedule A)		Status Letter			
Extension of Time Request (See Remarks)		Statement Under 37 CFR § 3.73(b)		Other Enclosure(s) (please identify below):			
		Request for Refund		Return	postcard		
Express Abandonment Request			CD, Number of CD(s)				
☐ Information D	isclosure Statement			,			
Certified Copy of Priority Document(s)		The Commissioner in Deposit Account 50-			d to charge any additional fee		
Response to I	Missing Parts/ pplication						
	se to Missing Parts CFR 1.52 or 1.53						
				ICANT, ATTORNE	, OR AGE	NT .	
Firm Morgar, Lewis & Boekius LLP or Individual Reg. No. 43,360							
Signature	1 Max						
Date	July 4, 2005					•-	
		CERTIFIC	ATE OF	TRANSMISSION/N	IAILING		
						States Postal Service with sufficient	
<del> </del>	· · · · · · · · · · · · · · · · · · ·		or Patents,	P.O. Box 1450, Alexandr	a, VA 22313-1	450 on the date shown below.	
Typed or printed na	ame Judi Stillwel						
Signature	,	<u> </u>			Date	July 25, 2005	

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Application** 

See Attached Schedule A

of:

Serial No.:

See Attached Schedule A

Filed:

See Attached Schedule A

For:

See Attached Schedule A

Attorney Docket No:

See Attached

Schedule A

## **REVOCATION AND POWER OF ATTORNEY**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

The Regents of the University of California, owner of the entire right, title and interest in, to and under the inventions listed in the attached Schedule hereby revokes all previous powers of attorney and appoints Morgan, Lewis & Bockius LLP, Customer No. 43850, and each of them, its attorneys, to prosecute these matters, and to transact all business in the Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Morgan, Lewis & Bockius LLP as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

Please direct all future correspondence to the address associated with Customer No. 43850, Morgan, Lewis & Bockius LLP and direct all telephone calls to Morgan, Lewis & Bockius LLP at (650) 843-4000.

Date

4/13/05

Assignee:

Signature:

ee: California ۱۵ ۸

Typed Name:

Lorelei de Larena

Position/Title:

Intellectual Property Manager

Address:

10920 Wilshire Blvd. Suite 1200

The Regents of the University of

Los Angeles, CA 90024-1406



# **Schedule A- UCLA**

MLB File No.	_	Inventor(s)	Title	Date Filed	
UC R # in brackets	App. No. / Patent No.	,		Date Issued	
061818-5510 US	09/378,577	Shi and Anderson	Method for the Treatment and Prevention of Dental Caries	08/20/99	
(1999-543-1)			·		
061818-5511 US	09/881,823	Shi, Anderson, et al.	DNA Molecules and Recombinant DNA Molecules for Producing	06/15/01	
(1999-543-2)			Humanized Monoclonal Antibodies to S. <i>Mutans</i>		
061818-5512 US	09/910,358	Shi, Anderson, et al. Fusion Proteins for Targeted Delivery of Antimicrobial Peptides		07/19/01	
(1999-543-3)					
061818-5512 US01	10/077,624	Shi, Anderson, et al.	Anti-Microbial Targeting Chimeric Pharmaceutical	02/14/02	
(1999-543-4)			·		
061818-5512 US02	10/706,391	Randal Eckert, Qi, Shi and Anderson	Anti-Microbial Targeting Chimeric Pharmaceutical	11/12/03	
(2003-509-1 according to GC records)		·			
(1999-543-5 according to your records)					
061818-5509 US	10/156,378	Shi, Anderson, et al.	Herbs & Herbal Combinations Useful for the Treatment of Microbial	05/28/02	
(2002-409-1)			Infections	· · · · · · · · · · · · · · · · · · ·	
061818-5515 US	10/795,554	Shi, Anderson, et al.	Compositions Useful for the Treatment of Microbial Infection	03/04/04	
(2004-522-1)				<u> </u>	
061818-5516 US	10/164,446	Justin Merritt, Shi, Anderson, et al.	Quorum Sensing and Biofilm Formation	06/06/02	
(2002-483-1)					

In re Application of: Wenyuan SHI, et al.

Application Serial No.: 09/881,823

Filing Date: June 15, 2001

DNA MOLECULES AND RECOMBINANT

DNA MOLECULES FOR PRODUCING

**HUMANIZED MONOCLONAL** ANTIBODIES TO S. MUTANS

Examiner: Zerman, Robert A.

Art Unit: 1645

Confirmation No. 8957

Attorney Docket No.:

061818-5511 US

(Formerly 22851-032)

#### **COMMUNICATION**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For:

Applicants enclose herewith a Revocation and Power of Attorney by Assignee executed on April 13, 2005 by Lorelei de Larena on behalf of The Regents of The University of California and a Statement under 37 C.F.R 3.73(b) executed by Nan Wu (Reg. No. 43,360) on behalf of the assignee, as appointed representative under the Power of Attorney.

Applicants believe that no fee is required with the submission of the enclosed document. However, if it is found that a fee is necessary, please charge the required fee to Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310. A copy of this sheet is enclosed for accounting purposes.

Date:

43,360

(Reg. No.)

MORGAN LEWIS & BOCKIUS LLP 2 Palo Alto Square 3000 El Camino Real Suite 700

Palo Alto, CA 94306-2212

(415) 442-1340

In re Application of:
Wenyuan SHI, et al.

Application Serial No.: 09/881,823

Examiner: Zerman, Robert A.

Art Unit: 1645

Confirmation No. 8957

Filing Date: June 15, 2001

For:

DNA MOLECULES AND RECOMBINANT DNA MOLECULES FOR PRODUCING HUMANIZED MONOCLONAL ANTIBODIES TO S. MUTANS

Attorney Docket No.: **061818-5511 US** 

(Formerly 22851-032)



# STATEMENT UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir

OII.							
		ership, university gov the assignee o an assignee o	VERSITY OF CA vernment agency), sta of the entire right of less than the er y, percentage) of	ates that it is: , title, and inter ntire right, title a	est; or and interest.	Type of Assignee, e %	.g.,
			nt identified abov identified above.	e by virtue of a	ın assignmen	t from the inve	ntor(s) of
×	The assignment was recorded in the United States Patent and Trademark Office on June 15, 2001 at Reel 011917, Frame 0245, or for which a copy thereof is attached.						
OR				•			
	A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:						
<ol> <li>From: To:         The document was recorded in the United States Patent and Trademark Office on at Reel , Frame , or for which a copy thereof is attached.     </li> </ol>							
	2. Fro	ocument was re	ecorded in the Un Frame , o	ited States Pat r for which a co			n
The Nam		gned whose signat	ture and title is supp	lied below is auth	norized to act o	n behalf of the as	signee.
	ature	All			Date	July , 2005	
Title		Attorney- Reg. N	o. 43,360		Telephone	(415) 442-134	40

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wenyuan SHI, et al.

Application Serial No.: 09/881,823

Art Unit: 1645

Filing Date: June 15, 2001

Confirmation No. 8957

Examiner: Zerman, Robert A.

For: DNA MOLECULES AND RECOMBINANT

DNA MOLECULES FOR PRODUCING

HUMANIZED MONOCLONAL ANTIBODIES TO S. MUTANS

Attorney Docket No.:

061818-5511 US

(Formerly 22851-032)

**CHANGE OF ADDRESS** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please address all future correspondence in this case to:

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Respectfully submitted,

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